TD Waterhouse

Discount Brokerage
Account Application
For Businesses, Trusts, Estates, Investment Clubs and Other Non-Personal Accounts

Check whether this is a:

☐ New Account (*Account # assigned*) ____________________

☐ Change to existing Account (*specify Account #*) ____________________

Transit # ☐☐☐☐☐

SRT Logon ID ☐☐☐☐☐☐☐

Type of Account • *For your convenience, Canadian and U.S. dollar accounts will be activated.*

Direct Trading Account (Non-Personal)

Type (*select one*)
- ☐ Margin Trading (borrowing against equity)†
- ☐ Cash Trading (cash settlement)

Ownership* (*select one*)
- ☐ Corporation
- ☐ Partnership
- ☐ Sole Ownership
- ☐ Estate††
- ☐ Legal Trust
- ☐ Testamentary Trust
- ☐ Investment Club
- ☐ Other ____________

Account Features (*select if desired*)
- ☐ Option Trading†††
- ☐ Short Selling†††

In what language would you like all future correspondence?

☐ English ☐ French

† *Not available for Estate Accounts.*
†† *Not available for a one-time trade.*
††† *Margin account is required. Therefore not available for Estate Accounts.*
**Additional forms are required.*

Electronic Access
- ☑ TalkBroker (*automatic registration*)
- ☐ WebBroker^ (*Internet access required*) EasyWeb™ clients to provide Connect/Login ID ____________________

^*Not available for Estate Accounts if multiple executors*

Account Holder • *Complete the information below as it pertains to the business, organization, trust or estate.*

Legal Name of Business, Organization, Trust or Estate ____________________

Date of Incorporation or Registration (mm/dd/yyyy) (Not required for Estate or Trust) ____________________

Type of Business ____________ **Business Number/Tax ID Registration Number**** ____________

Place of Incorporation, Registration or Establishment of Trust (Not required for Estate) ____________________

Legal Address ____________________

City ____________ Province ____________ Postal Code ____________

Send all correspondence to: ☐ Legal Address ☐ Address below

Contact's Name ____________ Contact's Title ____________

Address ____________________

Contact's Business Phone Number ____________ Contact's Home Phone Number ____________

City ____________ Province ____________ Postal Code ____________

** *Canada Revenue Agency business number applicable to Sole Proprietors, Partnerships, or Corporations. Tax ID Registration Number applicable to Non-profit Organizations.*

Financial and Investment Information

What is your annual revenue/income?

- ☐ Under $20,000
- ☐ $20,000-50,000
- ☐ $50,000-100,000
- ☐ $100,000-250,000
- ☐ Over $250,000

What is your primary financial institution?

Bank Name ____________________

Branch Address ____________________

Transit Number ____________ Account Number ____________

What is your net worth?

- ☐ Under $25,000
- ☐ $25,000-50,000
- ☐ $50,000-100,000
- ☐ $100,000-250,000
- ☐ $250,000-500,000
- ☐ Over $500,000

Do you have other Accounts with TD Waterhouse or other brokerage firms, or control the trading in any other Accounts?

☐ No ☐ Yes *If yes, please specify the types of accounts*

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Are you, as an individual or as part of a group, in a control position of a publicly traded company?

☐ No ☐ Yes *If yes, please specify the names of the companies*

____

____

____

Are you a Director, Senior Officer or Insider of any publicly traded company?

☐ No ☐ Yes *If yes, please specify the names of the companies*

____

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____

Third Party Determination Statement

Will any other person: *(If yes to any, please complete the Third Party Determination Statement below and note that additional forms are also required - please contact us.)*

Have trading authorization on this Account? ☐ No ☐ Yes Guarantee this Account? ☐ No ☐ Yes Have a financial interest in the Account? ☐ No ☐ Yes

A 'Third Party' is defined as anyone other than TD Waterhouse Canada Inc. or The Toronto-Dominion Bank (or any of their affiliates) or the customer(s) designated as the signing officer(s) for the account, who would make frequent deposits to, or would receive financial benefits from the account, and/or exerts control over the assets in the account.

For an account that is to be used by or on behalf of a third party, please complete the following:

Third Party's Name *	Third Party's Address	Third Party's Principal Business or Occupation	Relationship to Account Holder	Date of Birth

* If the third party is a business, document the business incorporation number _____________________and its place of issuance_________________________________.

Your Rights as a Securityholder

Refer to the *Account and Service Agreements and Disclosure Documents* booklet for details about your securityholder rights.

Securityholder Communication Instructions

1. Securityholders are entitled to receive the following materials: (a) proxy-related materials for annual and special meetings; (b) annual reports and financial statements that are not part of proxy-related materials; and (c) materials sent to securityholders that are not required by corporate or securities law to be sent.

   Please mark the corresponding box to indicate the materials you want to receive.
   ☐ I WANT to receive ALL securityholder materials sent to beneficial owners of securities.
   ☐ I WANT to receive ONLY proxy-related materials that are sent in connection with a special meeting.
   ☐ I DECLINE to receive ALL securityholder materials sent to beneficial owners of securities. (Even if I decline to receive these types of materials, I understand that a reporting issuer or other person or company is entitled to send these materials to me at its expense.)

2. Do you allow us to provide your name, address, electronic mail address and information about the securities you hold in your TD Waterhouse account to the issuer of the securities or other persons or companies, in order that they may forward securityholder material directly to you? If you answer **Yes**, you will not be charged with any costs associated with sending securityholder materials to you. If you answer **No**, TD Waterhouse may still be required, by law, to send certain materials to you, and you may be responsible to pay any costs associated with providing these materials to you. Please refer to the *Statement of Disclosure of Rates and Fees* for details.

   ☐ Yes ☐ No

Individual with Trading Authority Over the Account

This includes trading officers of corporations or investment clubs, sole owners, partners, all executors for estate accounts, trustees, attorneys. If more than one individual will have trading authority over the account, complete their information on the next page(s).

You are completing this section as:

☐ Trading Officer ☐ Sole owner

☐ Partner ☐ Other ______________________________

☐ Mr. ☐ Mrs. ☐ Miss ☐ Ms. ☐ Dr.

First Name Initial Last Name

Home Address

City Province Postal Code

Home Phone Number E-Mail Address

Date of Birth (mm/dd/yyyy) Citizenship* Social Insurance Number

Occupation Employer

Employer's Address Type of Business

Business Phone Number

Are you married or living common law?

☐ No ☐ Yes - *please complete the following:*

Full Name of Spouse or Partner Occupation

Employer Type of Business

Financial and Investment Information

What is your annual income?

☐ Under $20,000 ☐ $20,000-50,000

☐ $50,000-100,000 ☐ $100,000-250,000

☐ Over $250,000

What is your net worth?

☐ Under $25,000 ☐ $25,000-50,000

☐ $50,000-100,000 ☐ $100,000-250,000

☐ $250,000-500,000 ☐ Over $500,000

What is your primary financial institution?

Bank Name

Branch Address

Transit Number

Account Number

Are you, as an individual or as part of a group, in a control position of a publicly traded company?

☐ No ☐ Yes *If yes, please specify the names of the companies*

____

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____

Are you a Director, Senior Officer or Insider of any publicly traded company?

☐ No ☐ Yes *If yes, please specify the names of the companies*

____

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In which of the following do you have investment experience?
(check all that apply)

☐ Stocks

☐ Bonds

☐ Options

☐ Short Selling

☐ Mutual Funds

☐ None

Do you have other Accounts with TD Waterhouse or other brokerage firms, or control the trading in any other Accounts?

☐ No ☐ Yes *If yes, please specify the types of accounts*

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**** All applicants must provide one piece of valid identification from the checklist of acceptable forms of identification found on page 6 of this application.***
U.S. Persons *- W9 form (#515876) and a Waiver of Confidentiality (#591856) are required.*

Additional Individual with Trading Authority Over the Account *(for a second person)*

This includes trading officers of corporations or investment clubs, sole owners, partners, all executors for estate accounts, trustees, attorneys.

You are completing this section as:

☐ Trading Officer ☐ Sole owner

☐ Partner ☐ Other ______________________

☐ Mr. ☐ Mrs. ☐ Miss ☐ Ms. ☐ Dr.

First Name | Initial | Last Name

Home Address

City | Province | Postal Code

Home Phone Number | E-Mail Address

Date of Birth (mm/dd/yyyy) | Citizenship* | Social Insurance Number

Occupation | Employer

Employer's Address | Type of Business

Business Phone Number

Are you married or living common law?

☐ No ☐ Yes - *please complete the following:*

Full Name of Spouse or Partner | Occupation

Employer | Type of Business

Financial and Investment Information

What is your annual income?

☐ Under $20,000 ☐ $20,000-50,000

☐ $50,000-100,000 ☐ $100,000-250,000

☐ Over $250,000

What is your net worth?

☐ Under $25,000 ☐ $25,000-50,000

☐ $50,000-100,000 ☐ $100,000-250,000

☐ $250,000-500,000 ☐ Over $500,000

What is your primary financial institution?

Bank Name

Branch Address

Transit Number

Account Number

Are you, as an individual or as part of a group, in a control position of a publicly traded company?

☐ No ☐ Yes *If yes, please specify the names of the companies*

Are you a Director, Senior Officer or Insider of any publicly traded company?

☐ No ☐ Yes *If yes, please specify the names of the companies*

In which of the following do you have investment experience? *(check all that apply)*

☐ Stocks

☐ Bonds

☐ Options

☐ Short Selling

☐ Mutual Funds

☐ None

Do you have other Accounts with TD Waterhouse or other brokerage firms, or control the trading in any other Accounts?

☐ No ☐ Yes *If yes, please specify the types of accounts*

***** All applicants must provide one piece of valid identification from the checklist of acceptable forms of identification found on page 6 of this application.***

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Additional Individual with Trading Authority Over the Account *(for a third person)*

This includes trading officers of corporations or investment clubs, sole owners, partners, all executors for estate accounts, trustees, attorneys.

You are completing this section as:

☐ Trading Officer ☐ Sole owner

☐ Partner ☐ Other ______________________________

☐ Mr. ☐ Mrs. ☐ Miss ☐ Ms. ☐ Dr.

First Name | Initial | Last Name

Home Address

City | Province | Postal Code

Home Phone Number | E-Mail Address

Date of Birth (mm/dd/yyyy) | Citizenship* | Social Insurance Number

Occupation | Employer

Employer's Address | Type of Business

Business Phone Number

Are you married or living common law?

☐ No ☐ Yes - *please complete the following:*

Full Name of Spouse or Partner | Occupation

Employer | Type of Business

Financial and Investment Information

What is your annual income?

☐ Under $20,000 ☐ $20,000-50,000

☐ $50,000-100,000 ☐ $100,000-250,000

☐ Over $250,000

What is your net worth?

☐ Under $25,000 ☐ $25,000-50,000

☐ $50,000-100,000 ☐ $100,000-250,000

☐ $250,000-500,000 ☐ Over $500,000

What is your primary financial institution?

Bank Name

Branch Address

Transit Number

Account Number

Are you, as an individual or as part of a group, in a control position of a publicly traded company?

☐ No ☐ Yes *If yes, please specify the names of the companies*

____

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____

Are you a Director, Senior Officer or Insider of any publicly traded company?

☐ No ☐ Yes *If yes, please specify the names of the companies*

____

____

____

In which of the following do you have investment experience?
(check all that apply)

☐ Stocks
☐ Bonds
☐ Options
☐ Short Selling
☐ Mutual Funds
☐ None

Do you have other Accounts with TD Waterhouse or other brokerage firms, or control the trading in any other Accounts?

☐ No ☐ Yes *If yes, please specify the types of accounts*

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**** All applicants must provide one piece of valid identification from the checklist of acceptable forms of identification found on page 6 of this application.***
***U.S. Persons** - W9 form (#515876) and a Waiver of Confidentiality (#591856) are required.*

Customer Agreement

TD Waterhouse Discount Brokerage is a division of TD Waterhouse Canada Inc. ("TD Waterhouse"), a subsidiary of and separate corporate entity from The Toronto-Dominion Bank (the "Bank"). Unless otherwise indicated, throughout the application and in our other documents, the words "you", "your" and "yours" mean the account holder (and any other individuals with authority over the account). The words "we", "us" and "our" mean TD Waterhouse and/or *TD Bank Financial Group**.

Any reference to "partner" contained in the application means "common-law partner" and any reference to "marriage" in the application means "marriage or common-law partnership".

General

You have carefully read the application and understand the information in it. All information you have given in this application is complete and true.

You have carefully read the agreements that apply to your Account in the booklet entitled *Account and Service Agreements and Disclosure Documents* and any other applicable agreements that you have been given concerning your Accounts.

Unless TD Waterhouse tells you otherwise regarding a particular security, you agree that:

- the securities TD Waterhouse sells are not insured by the Canada Deposit Insurance Corporation or by any other government deposit insurer and are not guaranteed by the Trustee or the Bank; and
- the value of the securities TD Waterhouse sells may change depending on the market.

By making your first Account(s) transaction, you are agreeing to the terms of all applicable agreements.

You acknowledge that the intended use of your Account is for investing purposes.

Consent to the Collection, Use and/or Disclosure of Your Information

In this section, the word *"Information"* means personal, financial and other details about you that you provide to us and we obtain from others outside our organization, including through the products and services you use.

You agree that, at the time you begin a relationship with us and during the course of our relationship, we may collect, use and disclose your Information as described in the Privacy Agreement located in the TD Waterhouse *Account and Service Agreements and Disclosure Documents* booklet and on tdwaterhouse.ca, including for, but not limited to, the purposes of: identifying you, providing ongoing service, understanding your financial needs, protecting us both from fraud and error, complying with legal and regulatory requirements, and marketing products and services to you by telephone, fax, and automatic dialing-announcing device, at the numbers you have provided us, or by internet, mail, email or other methods.

For the purpose of identifying you, we may verify information you provide us with information held at credit reporting agencies and/or other financial institutions at the time of and any time during the application process.

If you are applying for a margin account, we will obtain information and reports about you from credit reporting agencies and other lenders at the time of and during the application process, and on an ongoing basis to review and verify your creditworthiness and/or establish credit limits. You may choose not to have us conduct a credit check in order to assess an application for credit. Once you have a margin account with us, we may from time to time disclose your Information to other lenders and credit reporting agencies seeking such Information, which helps establish your credit history and supports the credit granting and processing functions in general. If you have a margin account with us, you may not withdraw your credit consent.

You may elect or refuse to share Information within the TD Bank Financial Group by checking the appropriate box below:

☐ We may share Information within the TD Bank Financial Group

☐ We may not share Information within the TD Bank Financial Group

You may review our Privacy Code - *"Our Commitment to Your Privacy"* - and review your options for refusing or withdrawing your consent for any of the opt-out choices available to you, including your option not to be contacted about offers of products or services, by contacting us.

* *The TD Bank Financial Group means The Toronto-Dominion Bank and its affiliates who provide deposit, investment, loan, securities, trust, insurance and other products and services.*

Québec Residents

If you live in Québec, you are asking us to provide all documents, contracts, and correspondence concerning your account in English only. Si vous habitez au Québec, vous nous avez demandé de vous procurer, tout document, contrat et correspondance ayant trait à votre compte, et ceci en anglais uniquement. This request has been in effect throughout the entire Account Application process. Cette demande a été mise en vigueur, tout au long de la demande de ce compte.

You acknowledge that TD Waterhouse Discount Brokerage does not give personal or client specific or tailored investment advice or recommendations to you and does not accept any responsibility to advise you on the suitability of any of your investment decisions or transactions. You acknowledge that you are responsible for your investment decisions as well as for any profits or losses that may result.

Authorized Signature ____________________ Date (mm/dd/yyyy)

Authorized Signature ____________________ Date (mm/dd/yyyy)

Authorized Signature ____________________ Date (mm/dd/yyyy)

Note: Appropriate proof of Citizenship/Identification must accompany application.

Additional Signatures Required for Margin Trading, Option Trading or Short Selling

If you are applying to have **margin trading, option trading or short selling** on your Account, your signature(s) below certifies that you already have read the appropriate sections in the booklet called *Account and Service Agreements and Disclosure Documents* and agree to the terms in them.

Authorized Signature ____________________ Date (mm/dd/yyyy)

Authorized Signature ____________________ Date (mm/dd/yyyy)

Authorized Signature ____________________ Date (mm/dd/yyyy)

If you are applying to have **option trading** privileges, you also certify that you are aware of the risks involved in options trading as outlined in the *Risk Disclosure Statement For Futures and Options* or the *Disclosure Document for Recognized Market Options*, as applicable, and are willing to take those risks.

Authorized Signature ____________________ Date (mm/dd/yyyy)

Authorized Signature ____________________ Date (mm/dd/yyyy)

Authorized Signature ____________________ Date (mm/dd/yyyy)

For Branch Use Only

Transit No. ____________ Telephone Number ____________

Name ____________ TD Logon ID ____________

Is the applicant:

☐ An existing TD Canada Trust Client?
Number of years known to the branch? ____________

☐ A new client referred by ____________

Is this a Pro Account? ☐ No ☐ Yes

Level of Option Trading Activity Approved:
Direct Trading: ____________ Registered: ____________

Comments: ____________

Valid Identification/Citizenship Required - For all applicants - Provide copy of one:
(U.S. Persons - W9 form (#515876) and a Waiver of Confidentiality (#591856))

- ☐ Passport
- ☐ Drivers Licence (Restriction: QC only if client volunteers it)
- ☐ Canadian Citizenship Card
- ☐ Birth Certificate (under age 21 only)
- ☐ Provincial Health Insurance Card (Restriction: ON, MB and PEI not allowed by law; QC only if client volunteers it)
- ☐ Permanent Resident Card
- ☐ Record of Landing IMM1000 (issued prior to 1/1/2004)
- ☐ Confirmation of Permanent Residence IMM5292 (issued prior to 1/1/2004)
- ☐ Canadian Forces ID Card
- ☐ Certificate of Indian Status
- ☐ Alberta Photo ID Card
- ☐ B.C. ID Card
- ☐ Nfld and Labrador Photo ID Card
- ☐ Nova Scotia ID Card
- ☐ PEI Voluntary ID
- ☐ SK Mandatory Photo ID

ID of Authorized Signator ____________ Expiry Date (mm/dd/yyyy)

ID of Authorized Signator ____________ Expiry Date (mm/dd/yyyy)

Authorized Officer's Signature ____________ Date (mm/dd/yyyy)

DROP/AROP Signature ____________ Date (mm/dd/yyyy)

TD Waterhouse

Documentation Required for Businesses, Trusts, Estates, Investment Clubs and Other Non-Personal Accounts

Application Checklist

Use this list to make sure you complete all required information when opening a non-personal account. Remember, an application that isn't fully or accurately documented may mean a delay in opening your account.
The TD Waterhouse® Non-Personal Account Application kit (598044 / 598045) contains the most commonly used forms. If you require additional forms, please contact TD Waterhouse at 1-800-465-5463 or visit your local TD Waterhouse or TD Canada Trust branch.

U.S. Persons / Entities

- ❑ W-9 (515876)
- ❑ Waiver of Client Confidentiality (591856)

All Canadian Residents

Valid Identification /Proof of Citizenship required for all Applicants - Provide copy of one of the following:

- ❑ Passport
- ❑ Birth Certificate (under age 21 only)
- ❑ Canadian Citizenship Card
- ❑ Canadian Forces ID Card
- ❑ Certificate of Indian Status
- ❑ Confirmation of Permanent Residence IMM 5292 (issued prior to 1/1/2004)
- ❑ Drivers Licence (Restriction: QC only if client volunteers it)
- ❑ Provincial Health Insurance Card (Restriction: ON, MB and PEI not allowed by law; QC only if client volunteers it)
- ❑ Permanent Resident Card
- ❑ Record of Landing IMM1000 (issued prior to 1/1/2004)
- ❑ Alberta Photo ID
- ❑ B.C. ID Card
- ❑ MB Driver's License Certification (Part 2) and Manitoba Public Insurance Certificate (MP)
- ❑ NFLD and Labrador Photo ID Card
- ❑ Nova Scotia ID Card
- ❑ PEI Voluntary ID
- ❑ SK Mandatory Photo ID and valid SK Annual Driver's License and Automobile Accident Insurance Certificate

If your SIN begins with the digit "9", or you choose not to provide a SIN, or you are a non-resident of Canada, you are required to complete a W-8BEN (515874). Note: This form expires every 3 years so the form must be renewed prior to expiry while the account is active.

Complete and sign only if you want to transfer your account from another institution

- ❑ Authorization to Transfer Account (595170)

Formal Trusts

Simple Trust

- ❑ Trust Disclosure (523398)
- ❑ Trust Deed (certified true) or Solicitor's Opinion
- ❑ W-8BEN - (515874) signed by ALL Non-US Beneficiaries (includes Limitation on Benefits (LOB) statement)
- ❑ W-8IMY (598550) signed by one Trustee
- ❑ Waiver of Client Confidentiality (591856) signed by ALL Beneficiaries
- ❑ W-9 (515876) signed by ALL US Beneficiaries
- ❑ Personal Guarantee (595992) - **if applying for a margin account** - except for Alberta (use 595994). Borrowing Agreement 595976 is also required for Nova Scotia

Grantor Trust

- ❑ Trust Disclosure (523398)
- ❑ Trust Deed (certified true) or Solicitor's Opinion
- ❑ W-8BEN - (515874) signed by ALL Non-US Grantors (includes Limitation on Benefits (LOB) statement)
- ❑ W-8IMY (598550) signed by a Trustee
- ❑ Waiver of Client Confidentiality (591856) signed by ALL Grantors
- ❑ W-9 (515876) signed by ALL US Grantors
- ❑ Personal Guarantee (595992) - **if applying for a margin account** - except for Alberta (use 595994). Borrowing Agreement 595976 is also required for Nova Scotia

Complex Trusts

- ❑ Trust Disclosure (523398)
- ❑ Trust Deed (certified true) or Solicitor's Opinion
- ❑ W-8BEN (515874) signed by one Trustee (includes Limitation on Benefits (LOB) statement)
- ❑ Personal Guarantee (595992) - **if applying for a margin account** - except for Alberta (use 595994). Borrowing Agreement 595976 is also required for Nova Scotia

Testamentary Trusts

Simple Trust

- ❑ Probated Will (notarized copy)
- ❑ W-8BEN (515874) signed by ALL Non-US Beneficiaries (includes Limitation on Benefits (LOB) statement)
- ❑ W8-IMY (598550) signed by one Trustee
- ❑ Waiver of Client Confidentiality (591856) signed by ALL Beneficiaries
- ❑ W-9 (515876) signed by ALL US Beneficiaries

Complex Trust

- ❑ Probated Will or Letters of Administration (notarized copy)
- ❑ W-8BEN (515874) signed by a Trustee (includes Limitation on Benefits (LOB) statement)

Estate[1] Accounts for Continuous Use

[1] Not available for one-time trade

Complex Trusts

- ❑ Probated Will or Letters of Administration (notarized or all pages certified true copy)
- ❑ W-8BEN (515874) signed by an Executor (includes Limitation on Benefits (LOB) statement)

Simple Trusts

- ❑ Probated Will or Letters of Administration (notarized or all pages certified true copy)
- ❑ W-8BEN - (515874) signed by ALL Non-US Beneficiaries (includes Limitation on Benefits (LOB) statement)
- ❑ W-8IMY - (598550) signed by an Executor
- ❑ Waiver of Client Confidentiality (591856) signed by ALL Beneficiaries
- ❑ W-9 - (515876) signed by ALL US Beneficiaries

Corporation

- ❑ Trading Resolution (595960)
- ❑ Limitation on Benefits Statement (LOB) (595468) signed by Signing Officer(s)
- ❑ Articles of Certificate of Incorporation or printed website equivalent (ALL pages certified true or notarized)
- ❑ Personal Guarantee (595992) - **if applying for a margin account** - except for Alberta (use 595994). Borrowing Agreement 595976 is also required for Nova Scotia
- ❑ **Security Deposits** - Corporate Resolution for the Transfer of Physical Securities (592804). President must endorse the back of all certificates* or Power of Attorney.
  *All certificates must be forwarded to the Physical Securities Centre once the account has been opened.
- ❑ All Trading and Signing Authorities, all > 10% beneficial owner - provide certified true copy of one valid photo ID

Sole Ownership

- ❑ Sole Proprietor (596412)
- ❑ Photocopy of identification
- ❑ Certificate of Registration (certified true)

Partnership

- ❑ Partnership Resolution (523396)
- ❑ Certificate of Registration (certified true)
- ❑ W-8BEN - (515874) signed by ALL Non-US Partners (includes Limitation on Benefits (LOB) statement)
- ❑ W-8IMY (598550) from one general Partner
- ❑ Waiver of Client Confidentiality (591856) signed by ALL Partners
- ❑ W-9 (515876) signed by each US Citizen Partner
- ❑ Personal Guarantee (595992) - **if applying for a margin account** - except for Alberta (use 595994). Borrowing Agreement (595976) is also required for Nova Scotia
- ❑ All Partners - provided certified true copy of one valid photo ID
- ❑ Partnership Withholding Statement (530616)

Investment Club

- ❑ Investment Club Agreement - (595990) , except for Discount Brokerage (use 592910)
- ❑ SIN, Photo Identification and citizenship for ALL members
- ❑ Personal Guarantee (595992) - **if applying for a margin account** - except for Alberta (use 595994). Borrowing Agreement (595976) is also required for Nova Scotia

Non-Profit Organization - i.e. Church or Society

Entity established via Incorporation Statutes

- ❑ Trading Resolution (595960)
- ❑ Articles of Incorporation (certified true or notarized)

Entity established via Constitution, Bylaws, Meeting Minutes and Directors' Resolution

- ❑ Resolution for Signing Authority (595984) signed by two Senior Officers
- ❑ Establishing Documentation (e.g. Constitution, Bylaws, Meeting Minutes & Directors Resolution) - certified true copy

All accounts must provide:

- ❑ Limitation on Benefits Statement (LOB) (595468) signed by signing Officers
- ❑ Enhanced Due Diligence Questionnaire (EDD) (523510)
- ❑ CRA Registration number
- ❑ All Trading and Signing Authorities - provide photocopy of one valid photo ID

All margin accounts must provide:

- ❑ if ***Unincorporated*** - Resolution for Borrowing Authority (595986)
- ❑ if ***Incorporated*** - Personal Guarantee (595992) - except for Alberta (use 595994).
- ❑ Borrowing Agreement (595976) is also required for Nova Scotia.